IV. Consideration of Rulemaking Proposals
   A. Proposed Amendments to Title 16, California Code of Regulations sections 416 and 3060 (Substantial Relationship Criteria) to Conform to Statutory Changes Made by AB 2138 (Chapter 995, Statutes of 2018) (Possible Action)

VI. Legislation
   B. Discussion of Legislation for 2020 (Possible Action)
      AB 3045  Department of Consumer Affairs: boards: veterans: military spouses: licenses. – Correction to Staff Analysis
IV. Consideration of Rulemaking Proposals

A. Proposed Amendments to Title 16, California Code of Regulations sections 416 and 3060 (Substantial Relationship Criteria) to Conform to Statutory Changes Made by AB 2138 (Chapter 995, Statutes of 2018) (Possible Action)
Proposed Amendments to Title 16, California Code of Regulations
Sections 416 and 3060 (Substantial Relationship Criteria)
and Sections 418 and 3061 (Criteria for Rehabilitation)
to Conform to Statutory Changes Made by AB 2138 (Chapter 995, Statutes of 2018)

Background
In 2019, the Board approved proposed amendments to the regulations pertaining to the Substantial Relationship Criteria and the Criteria for Rehabilitation (Title 16, California Code of Regulations (16 CCR) sections 416 and 418 for professional engineers and professional land surveyors and in 16 CCR 3060 and 3061 for professional geologists and professional geophysicists) and directed staff to begin the rulemaking process. Amendments to these sections were necessitated by the amendments various sections of the Business and Professions Code made by AB 2138 (Ch. 995, Stats. 2018). The statutory changes become operative on July 1, 2020, and the goal is to have the amendments to the related regulations become effective at the same time. The pre-notice review process required by the Department of Consumer Affairs (DCA) and Agency was recently completed, and the rulemaking documents were approved for publication by the Office of Administrative Law (OAL) to be noticed for the required 45-day public comment period.

Shortly after receiving the approval to notice the Board-approved proposal, the DCA Legal Office advised Board staff that OAL had recommended changes to the language as proposed by another DCA licensing entity in order to approve their rulemaking proposal. Since we had used nearly identical language, it was suggested that we should make similar changes. In reviewing the recommendations from OAL, Board staff determined that it was necessary to make changes to the originally-proposed language, although not the specific changes recommended by OAL. Staff realized that some of the originally-proposed language was not worded as clearly as it could have been to specifically relate to the Board’s laws and processes. As such, staff believes it is necessary to make changes to the language to address these issues of clarity and consistency.

However, due to the timing of the approval of the originally-proposed language, the input from OAL and DCA Legal Office, and the Board meeting schedule, it was too late to make the changes to be part of the 45-public comment period. The rulemaking process does allow for the Board, on its own motion, to make changes to the noticed language and provide the public with a 15-day comment period on those changes. Since the goal is to have the regulations become effective on July 1, 2020, it is necessary to have the Board approve changes to the language at this meeting. The proposed changes will be noticed for the 15-day public comment period as soon as the 45-day public comment period closes (at the end of April).

The language with the proposed changes is included for the Board’s review. Language proposed to be added during the initial 45-day public comment period is shown in single underlined text. Language proposed to be deleted during the initial 45-day public comment period is shown in single strikethrough text. Language proposed to be added
and noticed for a 15-day public comment period is shown in double underlined text. Language proposed to be added and noticed for a 15-day public comment period is shown in double strikethrough text.

**RECOMMENDED MOTION:**
Approve the proposed amendments, as shown, to Title 16, California Code of Regulations sections 416, 418, 3060, and 3061 direct staff to notice the proposed changes for a 15-day public comment period.
Section 416 of Division 5 of Title 16 of the California Code of Regulations is amended as follows:

416. Substantial Relationship Criteria.
   (a) For the purpose of denial, suspension, or revocation of the certification of an engineer-in-training or a land surveyor-in-training or license of a professional engineer or a land surveyor pursuant to Section 141, or Division 1.5 (commencing with Section 475), subdivision (a) of Section 6775, subdivision (a) of Section 6775.1, Section 6779, subdivision (e) of Section 8780, subdivision (a) of Section 8780.1, or Section 8783 of the Business and Professions Code, a crime, professional misconduct, or act shall be considered substantially related to the qualifications, functions, and duties of a professional engineer or land surveyor if, to a substantial degree, it evidences present or potential unfitness of a professional engineer or land surveyor to perform the functions authorized by his or her license in a manner consistent with the public health, safety, or welfare. Such crimes or acts shall include, but not be limited to, those involving the following:

   (b) In making the substantial relationship determination required under subdivision (a) for a crime, the Board shall consider all of the following criteria:

      (1) The nature and gravity of the offense;
      (2) The number of years elapsed since the date of the offense; and
      (3) The nature and duties of a professional engineer or land surveyor.

   (c) For purposes of subdivision (a), substantially related crimes, professional misconduct, or acts shall include, but are not limited to, the following:

      (a) (1) For engineers-in-training and professional engineers, any violations of the provisions of the Professional Engineers Act or other state or federal laws governing the practice of professional engineering or aiding and abetting any person in such a violation;
      (b) (2) For land surveyors-in-training and professional land surveyors, any violations of the provisions of the Professional Land Surveyors’ Act or other state or federal laws governing the practice of land surveying or aiding and abetting any person in such a violation;
      (c) (3) A conviction of a crime arising from or in connection with the practice of professional engineering or land surveying.
Section 418 of Division 5 of Title 16 of the California Code of Regulations is amended as follows:

418. Criteria for Rehabilitation.

(a) When considering the denial of an application for certification as an engineer-in-training or a land surveyor-in-training, or for licensure as a professional engineer, or for licensure as a professional land surveyor, or for authority to use the title “structural engineer,” or for authority to use the title “geotechnical engineer,” under Section 480, Section 6779, or Section 8783 of the Code on the ground that the applicant was has been convicted of a crime, the Board shall consider whether the applicant made a showing of rehabilitation and is presently eligible for a license, if the applicant completed the criminal sentence at issue without a violation of parole or probation. In making this determination, the Board will consider the following criteria:

1. The nature and gravity of the crimes(s).
2. The length(s) of the applicable parole or probation period(s).
3. The extent to which the applicable parole or probation period(s) was shortened or lengthened and the reason(s) the period(s) was modified.
4. The terms or conditions of parole or probation and the extent to which they bear on the applicant’s rehabilitation.
5. The extent to which the terms or conditions of parole or probation were modified and the reason(s) for modification.

(b) If subdivision (a) is inapplicable, or when considering the denial of an application for certification as an engineer-in-training or a land surveyor-in-training, or for licensure as a professional engineer, or for licensure as a professional land surveyor, or for authority to use the title “structural engineer,” or for authority to use the title “geotechnical engineer” under Section 480, Section 6779, or Section 8783 of the Code, if the Board determines that the applicant has not completed the criminal sentence at issue without a violation of parole or probation or did not make the showing of rehabilitation based on the criteria in subdivision (a) if the denial is based on the ground that the applicant has been convicted of a crime, or, if the denial is based on the ground that the applicant has been subject to formal discipline by another licensing board for professional misconduct, then the Board shall apply the following criteria in evaluating the applicant’s rehabilitation. The Board shall find that the applicant is rehabilitated if, after considering by applying the following criteria, the Board finds that the applicant is rehabilitated in evaluating the applicant’s rehabilitation:

1. The nature and severity gravity of the act(s), professional misconduct, or crime(s) under consideration as grounds for denial.
(2) Evidence of any act(s), professional misconduct, or crime(s) committed prior to or subsequent to the act(s), professional misconduct, or crime(s) under consideration as grounds for denial which could also be considered as grounds for denial under Section 480 of the Code.

(3) The time that has elapsed since commission of the act(s), professional misconduct, or crime(s) referred to in subdivision (1) or (2).

(4) The extent to which the applicant has complied with any terms of parole, probation, restitution, or any other sanctions lawfully imposed against the applicant.

(5) Any evidence of rehabilitation submitted by the applicant.

(6) Total criminal record.

(7) If applicable, evidence of expungement proceedings that the conviction has been dismissed pursuant to Section 1203.4, 1203.4a, 1203.41, or 1203.42 of the Penal Code; evidence that the applicant has obtained a certificate of rehabilitation under Chapter 3.5 (commencing with Section 4852.01) of Title 6 of Part 3 of the Penal Code; or evidence of a comparable dismissal or expungement.

(8) The criteria in subdivision (a)(1)-(5), as applicable.

(b) (c) When considering the suspension or revocation of the certification of an engineer-in-training or a land surveyor-in-training, or the license of a professional engineer or a professional land surveyor, or the authority to use the title “structural engineer,” or the authority to use the title “geotechnical engineer” under Section 490, subdivision (a) of Section 6775, subdivision (a) of Section 6775.1, Section 6779, subdivision (e) of Section 8780, subdivision (a) of Section 8780.1, or Section 8783 of the Code on the ground that the license holder has been convicted of a crime, the Board shall consider whether the license holder made a showing of rehabilitation and is presently eligible for a license, if the license holder completed the criminal sentence at issue without a violation of parole or probation. In making this determination, the Board shall consider the following criteria in evaluating the rehabilitation of such person and his or her present eligibility to retain his or her license:

(1) The nature and gravity of the crimes(s).
(2) The length(s) of the applicable parole or probation period(s).
(3) The extent to which the applicable parole or probation period(s) was shortened or lengthened and the reason(s) the period(s) was modified.
(4) The terms or conditions of parole or probation and the extent to which they bear on the license holder’s rehabilitation.
(5) The extent to which the terms or conditions of parole or probation were modified and the reason(s) for modification.

(d) If subdivision (c) is inapplicable, or When considering the suspension or revocation of the certification of an engineer-in-training or a land surveyor-in-training, or the license of a professional engineer or a professional land surveyor, or the authority to use the title “structural engineer,” or the authority to use the title “geotechnical engineer” under Section 490, subdivision (a) of Section 6775, subdivision (a) of Section 6775.1, Section 6779, subdivision (e) of Section 8780, subdivision (a) of Section 8780.1, or Section 8783 of the Code on the ground that the license holder has been convicted of a crime, if the Board determines that the license holder has not completed the criminal
sentence at issue without a violation of parole or probation or did not make the showing of rehabilitation based on the criteria in subdivision (c), then the Board shall apply the following criteria in evaluating the license holder’s rehabilitation. The Board shall find that consider whether the license holder made a showing of rehabilitation and is presently eligible for a license if, after considering by applying the following criteria, the Board finds that the license holder is rehabilitated in evaluating the license holder’s rehabilitation:

1. The nature and severity gravity of the act(s) or crime(s) under consideration as grounds for suspension or revocation.
2. Evidence of any act(s) or crime(s) committed prior to or subsequent to the act(s) or crime(s) under consideration as grounds for suspension or revocation which could also be considered as grounds for suspension or revocation under Section 490 of the Code.
3. The time that has elapsed since commission of the act(s) or crime(s) referred to in subdivision (1) or (2).
4. The extent to which the licensee license holder has complied with any terms of parole, probation, restitution, or any other sanctions lawfully imposed against the license holder.
5. Any evidence of rehabilitation submitted by the licensee license holder.
6. Total criminal record.
7. If applicable, evidence of expungement proceedings that the conviction has been dismissed pursuant to Section 1203.4, 1203.4a, 1203.41, or 1203.42 of the Penal Code; evidence that the license holder has obtained a certificate of rehabilitation under Chapter 3.5 (commencing with Section 4852.01) of Title 6 of Part 3 of the Penal Code; or evidence of a comparable dismissal or expungement.
8. The criteria in subdivision (c)(1)-(5), as applicable.

(c) (e) When considering a petition for reinstatement of the certification of an engineer-in-training or a land surveyor-in-training, or the license of a professional engineer or a professional land surveyor, or the authority to use the title “structural engineer,” or the authority to use the title “geotechnical engineer,” the Board shall evaluate evidence of rehabilitation submitted by the petitioner, including but not limited to the following:

1. Educational courses, including college-level courses, seminars, and continuing professional development courses, completed after the effective date of the Board’s decision ordering revocation.
2. Professional engineering or land surveying work done under the responsible charge of a licensee in good standing or under the direction responsible charge of a person legally authorized to practice.
3. Payment of restitution to the consumer(s) by the petitioner.
4. Actual or potential harm to the public, client(s), employer(s), and/or employee(s) caused by the action(s) that led to the revocation or that could be caused by the reinstatement of the certificate, license, or authority.
5. The criteria specified in subsection (b) subdivision (d)(1) through (7) (8), as applicable.
6. Disciplinary history, other than criminal actions, after the revocation.
7. Recognition by the petitioner of his or her own actions and/or behavior that led to the revocation.
(8) Correction of the petitioner’s actions and/or behavior that led to the revocation.

(f) As used in this section, “license” means certification as an engineer-in-training or a land surveyor-in-training, licensure as a professional engineer, licensure as a professional land surveyor, authority to use the title “structural engineer,” or authority to use the title “geotechnical engineer.”

Note: Authority cited: Sections 482, 6716, and 8710, Business and Professions Code. Reference: Sections 480, 481, 488, 490, 6706.3, 6732, 6775, 6775.1, 6777, 6779, 6780, 8780, 8780.1, 8783, and 8784, Business and Professions Code; and Section 1203.4, Penal Code.

Section 3060 of Division 29 of Title 16 of the California Code of Regulations is amended as follows:

3060. Substantial Relationship Criteria.
   (a) For the purpose of denial, suspension, or revocation of the certification of a geologist-in-training or registration license of a geologist, specialty geologist, geophysicists, or specialty geophysicists pursuant to Section 141, or Division 1.5 (commencing with Section 475), subdivision (b)(1) of Section 7860, subdivision (a) of Section 7860.1, or Section 7863 of the Business and Professions Code, a crime, professional misconduct, or act shall be considered substantially related to the qualifications, functions, and duties of a geologist, specialty geologist, geophysicists, or specialty geophysicists if to a substantial degree it evidences present or potential unfitness of such geologist or geophysicists to perform the functions authorized by his registration or her license in a manner consistent with the public health, safety, or welfare. Such crimes or acts shall include, but not be limited to, the following:
      (b) In making the substantial relationship determination required under subdivision (a) for a crime, the Board shall consider all of the following criteria:
          (1) The nature and gravity of the offense;
          (2) The number of years elapsed since the date of the offense; and.
          (3) The nature and duties of a professional geologist or geophysicist.
   (c) For purposes of subdivision (a), substantially related crimes, professional misconduct, or acts shall include, but are not limited to, the following:
      (a) (1) Any violation of the provisions of Chapter 12.5 of Division 3 of the Business and Professions Code or other state or federal laws governing the practice of geology or geophysics or aiding and abetting any person in such a violation;
          (2) A conviction of a crime arising from or in connection with the practice of geology or geophysics.

Section 3061 of Division 29 of Title 16 of the California Code of Regulations is amended as follows:

3061. Criteria for Rehabilitation.

(a) When considering the denial of an application for licensure as a professional geologist or professional geophysicist, or certification as a specialty geologist, specialty geophysicist, or geologist-in-training under Section 480 or Section 7863 of the Code, on the ground that the applicant was has been convicted of a crime, the Board shall consider whether the applicant made a showing of rehabilitation and is presently eligible for a license, if the applicant completed the criminal sentence at issue without a violation of parole or probation. In making this determination, the Board shall consider the following criteria: the Board, in evaluating the rehabilitation of the applicant and his or her present eligibility for such a license or certification, will consider the following criteria:

1. The nature and gravity of the crimes(s).
2. The length(s) of the applicable parole or probation period(s).
3. The extent to which the applicable parole or probation period(s) was shortened or lengthened and the reason(s) the period(s) was modified.
4. The terms or conditions of parole or probation and the extent to which they bear on the applicant's rehabilitation.
5. The extent to which the terms or conditions of parole or probation were modified and the reason(s) for modification.

(b) If subdivision (a) is inapplicable, or When considering the denial of an application for licensure as a professional geologist or professional geophysicist, or certification as a specialty geologist, specialty geophysicist, or geologist-in-training under Section 480 or Section 7863 of the Code if the Board determines that the applicant has not completed the criminal sentence at issue without a violation of parole or probation or did not make the showing of rehabilitation based on the criteria in subdivision (a) if the denial is based on the ground that the applicant has been convicted of a crime, or, if the denial is based on the ground that the applicant has been subject to formal discipline by another licensing board for professional misconduct, then the Board shall apply the following criteria in evaluating the applicant's rehabilitation. The Board shall find whether the applicant made a showing of rehabilitation and is presently eligible for a license if, after considering by applying the following criteria, the Board finds that the applicant is rehabilitated in evaluating the applicant's rehabilitation:

1. The nature and severity gravity of the act(s), professional misconduct, or crime(s) under consideration as grounds for denial.
2. Evidence of any act(s), professional misconduct, or crime(s) committed prior to or subsequent to the act(s), professional misconduct, or crime(s) under consideration as grounds for denial which also could be considered as grounds for denial under Section 480 of the Code.
3. The time that has elapsed since commission of the act(s), professional misconduct, or crime(s) referred to in subdivision (1) or (2).
4. The extent to which the applicant has complied with any terms of parole, probation, restitution, or any other sanctions lawfully imposed against the applicant.
5. Evidence, if any, Any evidence of rehabilitation submitted by the applicant.
(6) Total criminal record.
(7) If applicable, evidence of expungement proceedings that the conviction has been dismissed pursuant to Section 1203.4, 1203.4a, 1203.41, or 1203.42 of the Penal Code; evidence that the applicant has obtained a certificate of rehabilitation under Chapter 3.5 (commencing with Section 4852.01) of Title 6 of Part 3 of the Penal Code; or evidence of a comparable dismissal or expungement.
(8) The criteria in subdivision (a)(1)-(5), as applicable.
(b) (c) When considering the suspension or revocation of the license of a professional geologist or professional geophysicist, or certification of a specialty geologist, specialty geophysicist, or geologist-in-training under Section 490, subdivision (b)(1) of Section 7860, subdivision (a) of Section 7860.1, or Section 7863 of the Code on the ground that the license holder was convicted of a crime, the Board shall consider whether the license holder made a showing of rehabilitation and is presently eligible for a license, if the license holder completed the criminal sentence at issue without a violation of parole or probation. In making this determination, the Board shall consider the following criteria:
1. The nature and gravity of the crimes(s).
2. The length(s) of the applicable parole or probation period(s).
3. The extent to which the applicable parole or probation period(s) was shortened or lengthened and the reason(s) the period(s) was modified.
4. The terms or conditions of parole or probation and the extent to which they bear on the license holder’s rehabilitation.
5. The extent to which the terms or conditions of parole or probation were modified and the reason(s) for modification.
(d) If subdivision (c) is inapplicable, or When considering the suspension or revocation of the license of a professional geologist or professional geophysicist, or certification of a specialty geologist, specialty geophysicist, or geologist-in-training under Section 490, subdivision (b)(1) of Section 7860, subdivision (a) of Section 7860.1, or Section 7863 of the Code on the ground that the license holder has been convicted of a crime, if the Board determines that the license holder has not completed the criminal sentence at issue without a violation of parole or probation or did not make the showing of rehabilitation based on the criteria in subdivision (c), then the Board shall apply the following criteria in evaluating the license holder’s rehabilitation. The Board shall find that the license holder is rehabilitated in evaluating the license holder’s rehabilitation:
1. Nature The nature and severity of the act(s) or offense(s) crime(s) under consideration as grounds for suspension or revocation.
2. Evidence of any act(s) or crime(s) committed prior to or subsequent to the act(s) or offense(s) crime(s) under consideration as grounds for suspension or revocation under Section 490 of the Code.
3. The time that has elapsed since commission of the act(s) or offense(s) crime(s) referred to in subdivision (1) or (2).
(4) The extent to which the licensee license holder has complied with any terms of parole, probation, restitution, or any other sanctions lawfully imposed against the licensee license holder.

(5) If applicable, evidence of expungement proceedings pursuant to Section 1203.4 of the Penal Code.

(6) Evidence, if any, Any evidence of rehabilitation submitted by the licensee.

(7) Total criminal record.

(7) If applicable, evidence that the conviction has been dismissed pursuant to Section 1203.4, 1203.4a, 1203.41, or 1203.42 of the Penal Code; evidence that the license holder has obtained a certificate of rehabilitation under Chapter 3.5 (commencing with Section 4852.01) of Title 6 of Part 3 of the Penal Code; or evidence of a comparable dismissal or expungement.

(8) The criteria in subdivision (c)(1)-(5), as applicable.

(e) When considering a petition of reinstatement of the certification as a geologist-in-training, specialty geologist, or specialty geophysicist, or the license of a professional geologist or professional geophysicist, the Board shall evaluate evidence of rehabilitation submitted by the petitioner, including, but not limited to, the following:

(1) Educational courses, including college-level courses, seminars, and continuing professional development courses, completed after the effective date of the Board’s decision ordering revocation.

(2) Professional geological or geophysical work done under the responsible charge of a licensee in good standing or under the direction responsible charge of a person legally authorized to practice.

(3) Payment of restitution to the consumer(s) by the petitioner.

(4) Actual or potential harm to the public, client(s), employer(s), and/or employee(s) caused by the action(s) that led to the revocation or that could be caused by the reinstatement of the certificate, license, or authority.

(5) The criteria specified in subsection (b) subdivision (d)(1) through (7) (8), as applicable.

(6) Disciplinary history, other than criminal actions, after the revocation.

(7) Recognition by the petitioner of his or her own actions and/or behavior that led to the revocation.

(8) Correction of the petitioner’s actions and/or behavior that led to the revocation.

(f) As used in this section, “license” means certification as a geologist-in-training, specialty geologist, or specialty geophysicist or licensure as a professional geologist or professional geophysicist.

Note: Authority cited: Section Sections 482 and 7818, Business and Professions Code. Reference: Sections 475, 480, 482, 488, 490, 7860, 7860.1, and 7862, 7863, and 7864, Business and Professions Code.
VI. Legislation

B. Discussion of Legislation for 2020 (Possible Action)
   AB 3045  Department of Consumer Affairs: boards: veterans: military spouses: licenses. – Correction to Staff Analysis
AB 3045 (Gray, D-Merced)

Status: 2/24/2020 – Read first time.
Location: 3/4/2020 – In Assembly.
Introduced: 2/21/2020
Board Position: No position as of 3/4/2020
Board Staff Analysis: 3/4/2020

Bill Summary: This bill would add Section 115.7 to the Business and Professions Code. This new section would apply to boards not specified in subdivision (a) of Section 115.6 and would require those boards to issue a temporary license to an applicant who meets the criteria specified in the section, including that the applicant is an honorably discharged veteran of the Armed Forces of the United States or is married to or in a domestic partnership or other legal union with an active duty member of the military who is assigned to a duty station in this state under official active duty military orders.

Staff Comment: This Board is one of the boards specified in subdivision (a) of Section 115.6; therefore, this new section would **NOT** apply to this Board. This bill is being brought to the Board’s attention for informational purposes. [AB 2549, which is included in these meeting materials, contains Section 115.6.]

Staff Recommendation: No Board action required at this time. Staff is providing this as an information-only item.

Laws: An act to add Section 115.7 to the Business and Professions Code, relating to professions and vocations, and making an appropriation therefor.