BEFORE THE

BOARD FOR PROFESSIONAL ENGINEERS, LAND SURVEYORS, AND GEOLOGISTS DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

In the Matter of the Accusation against:)	
DIRK CORNELIS SLOOTEN 3921 Oak Drive)	Case No. 1083-A
Clearlake, CA 95422)	
Land Surveyor License No. L 5342,)	
Respondent.)	
	/	

DECISION

The attached Stipulated Settlement and Disciplinary Order is hereby adopted by the Board for Professional Engineers, Land Surveyors, and Geologists as its Decision in the above-entitled matter.

In adopting this Stipulated Settlement and Disciplinary Order as its Decision, the Board for Professional Engineers, Land Surveyors, and Geologists has reduced or otherwise mitigated the penalty order as follows:

Condition 10 is revised as follows:

Within two and one-half (2 ½) years from the effective date of the decision, the Respondent shall successfully complete and pass one (1) college-level land surveying course, which must be related to the areas of violation alleged in the Accusation. Said course shall be approved in advance by the Board or its designee. The Respondent shall provide the Board with official proof of completion of the requisite course. For the purposes of this condition, "college-level course" means a course offered by a community college or four-year university of three semester units or the equivalent; it does not include seminars.

_Original Signed

BOARD FOR PROFESSIONAL ENGINEERS, LAND SURVEYORS, AND GEOLOGISTS Department of Consumer Affairs State of California

1	KAMALA D. HARRIS				
2	Attorney General of California FRANK H. PACOE				
3	Supervising Deputy Attorney General NICHOLAS TSUKAMAKI				
4	Deputy Attorney General State Bar No. 253959				
5	455 Golden Gate Avenue, Suite 11000 San Francisco, CA 94102-7004				
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6	Facsimile: (415) 703-5480 E-mail: Nicholas.Tsukamaki@doj.ca.gov				
7	Attorneys for Complainant				
8	BEFORE THE BOARD FOR PROFESSIONAL ENGINEERS, LAND SURVEYORS, AND				
9	GEOLOGISTS DEPARTMENT OF CONSUMER AFFAIRS				
10		CALIFORNIA			
11					
12	In the Matter of the Accusation Against:	Case No. 1083-A			
13	DIRK CORNELIS SLOOTEN 3921 Oak Drive	OAH No. 2014090263			
14	Clearlake, CA 95422 Land Surveyor License No. L 5342	STIPULATED SETTLEMENT AND			
15	Responden	DISCIPLINARY ORDER			
16	responden				
17					
18	IT IS HEDERY STIRLIL ATED AND A	CREED by and between the next of the short			
19		GREED by and between the parties to the above-			
	entitled proceedings that the following matters				
20	<u>PARTIES</u>				
21	Richard B. Moore, PLS (Complain	nant) is the Executive Officer of the Board for			
22	Professional Engineers, Land Surveyors, and C	Geologists (Board). He brought this action solely in			
23	his official capacity and is represented in this matter by Kamala D. Harris, Attorney General of				
24	the State of California, by Nicholas Tsukamaki, Deputy Attorney General.				
25	2. Respondent Dirk Cornelis Slooten	(Respondent) is represented in this proceeding by			
26	attorney Robert F. Hahn, whose address is: Gould & Hahn, 2550 Ninth Street, Suite 101,				
27	Berkeley, CA 94710.				
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CULPABILITY

- Respondent understands and agrees that if proven at a hearing, the charges and allegations in Accusation No. 1083-A constitute cause for disciplining Respondent's Land Surveyor License.
- 10. Respondent agrees that his Land Surveyor License is subject to discipline and he agrees to be bound by the Board's probationary terms as set forth in the Disciplinary Order below.

CONTINGENCY

- 11. This stipulation shall be subject to approval by the Board. Respondent understands and agrees that counsel for Complainant and the staff of the Board may communicate directly with the Board regarding this stipulation and settlement, without notice to or participation by Respondent or his counsel. By signing the stipulation, Respondent understands and agrees that he may not withdraw his agreement or seek to rescind the stipulation prior to the time the Board considers and acts upon it. If the Board fails to adopt this stipulation as its Decision and Order, the Stipulated Settlement and Disciplinary Order shall be of no force or effect, except for this paragraph, it shall be inadmissible in any legal action between the parties, and the Board shall not be disqualified from further action by having considered this matter.
- 12. The parties understand and agree that Portable Document Format (PDF) and facsimile copies of this Stipulated Settlement and Disciplinary Order, including Portable Document Format (PDF) and facsimile signatures thereto, shall have the same force and effect as the originals.
- 13. This Stipulated Settlement and Disciplinary Order is intended by the parties to be an integrated writing representing the complete, final, and exclusive embodiment of their agreement. It supersedes any and all prior or contemporaneous agreements, understandings, discussions, negotiations, and commitments (written or oral). This Stipulated Settlement and Disciplinary Order may not be altered, amended, modified, supplemented, or otherwise changed except by a writing executed by an authorized representative of each of the parties.

14. In consideration of the foregoing admissions and stipulations, the parties agree that the Board may, without further notice or formal proceeding, issue and enter the following Disciplinary Order:

DISCIPLINARY ORDER

IT IS HEREBY ORDERED that Land Surveyor License No. L 5342 issued to Respondent Dirk Cornelis Slooten (Respondent) is revoked. However, the revocation is stayed and Respondent is placed on probation for three (3) years on the following terms and conditions.

- Obey All Laws. The Respondent shall obey all laws and regulations related to the practices of professional engineering and professional land surveying.
- Submit Reports. The Respondent shall submit such special reports as the Board may require.
- 3. **Tolling of Probation.** The period of probation shall be tolled during the time the Respondent is practicing exclusively outside the state of California. If, during the period of probation, the Respondent practices exclusively outside the state of California, the Respondent shall immediately notify the Board in writing.
- 4. **Violation of Probation.** If the Respondent violates the probationary conditions in any respect, the Board, after giving the Respondent notice and the opportunity to be heard, may vacate the stay and reinstate the disciplinary order which was stayed. If, during the period of probation, an accusation or petition to vacate stay is filed against the Respondent, or if the matter has been submitted to the Office of the Attorney General for the filing of such, the Board shall have continuing jurisdiction until all matters are final, and the period of probation shall be extended until all matters are final.
- Completion of Probation. Upon successful completion of all of the probationary conditions and the expiration of the period of probation, the Respondent's Land Surveyor License No. L 5342 shall be unconditionally restored.
- 6. Cost Recovery. Within two and one-half (2 ½) years from the effective date of the decision, the Respondent shall reimburse the Board for its investigative and enforcement costs in this matter in the amount of \$6,000. Said reimbursement may be paid in installments.

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- 7. **Examination.** Within 60 days of the effective date of the decision, the Respondent shall successfully complete and pass the California Laws and Board Rules examination, as administered by the Board.
- 8. **Ethics Course.** Within two and one-half (2 ½) years from the effective date of the decision, the Respondent must successfully complete and pass a course in professional ethics, approved in advance by the Board or its designee.
- 9. **Notification.** Within thirty (30) days from the effective date of the decision, the Respondent shall provide the Board with evidence that he has provided all persons or entities with whom he has a contractual or employment relationship relating to professional land surveying services with a copy of the decision and order of the Board and shall provide the Board with the name and business address of each person or entity required to be so notified. During the period of probation, the Respondent may be required to provide the same notification to each new person or entity with whom he has a contractual or employment relationship relating to professional land surveying services and shall report to the Board the name and address of each person or entity so notified.
- 10. Land Surveying Courses. Within two and one-half (2 ½) years from the effective date of the decision, the Respondent shall successfully complete and pass three (3) college-level land surveying courses, which must be related to the areas of violation alleged in the Accusation. Said courses shall be approved in advance by the Board or its designee. The Respondent shall provide the Board with official proof of completion of the requisite courses. For purposes of this condition, "college-level course" means a course offered by a community college or a four-year university of three semester units or the equivalent; it does not include seminars.

ACCEPTANCE

I have carefully read the above Stipulated Settlement and Disciplinary Order and have fully discussed it with my attorney, Robert F. Hahn. I understand the stipulation and the effect it will have on my Land Surveyor License. I enter into this Stipulated Settlement and Disciplinary Order voluntarily, knowingly, and intelligently, and agree to be bound by the Decision and Order of the Board for Professional Engineers, Land Surveyors, and Geologists.

DATED: 1-9-2015 Original Signed

DIRKCORNELIS SLOOTEN

I have read and fully discussed with Respondent Dirk Cornelis Slooten the terms and conditions and other matters contained in the above Stipulated Settlement and Disciplinary Order. I approve its form and content.

DATED: 1-9-2015 Original Signed

ROBERT F. HAHN
Attorney for Respondent

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ENDORSEMENT The foregoing Stipulated Settlement and Disciplinary Order is hereby respectfully submitted for consideration by the Board for Professional Engineers, Land Surveyors, and Geologists. Dated: January 12, 2015 Respectfully submitted, KAMALA D. HARRIS Attorney General of California FRANK H. PACOE Supervising Deputy Attorney General Original Signed. NICHOLAS TSUKAMAKI Deputy Attorney General Attorneys for Complainant SF2014407406 41175300.doc

Exhibit A

Accusation No. 1083-A

1 2 3 4 5 6 7 8 9 10 11	KAMALA D. HARRIS Attorney General of California FRANK H. PACOE Supervising Deputy Attorney General NICHOLAS TSUKAMAKI Deputy Attorney General State Bar No. 253959 455 Golden Gate Avenue, Suite 11000 San Francisco, CA 94102-7004 Telephone: (415) 703-1188 Facsimile: (415) 703-5480 E-mail: Nicholas. Tsukamaki@doj.ca.gov Attorneys for Complainant BEFORE THE BOARD FOR PROFESSIONAL ENGINEERS, LAND SURVEYORS, AND GEOLOGISTS DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA
12	In the Matter of the Accusation Against: Case No. 1083-A
131415	DIRK CORNELIS SLOOTEN 3921 Oak Drive Clearlake, CA 95422 Land Surveyor License No. L 5342 A C C U S A T I O N
16	Respondent.
18 19 20 21 22 23 24 25 26 27 28	Complainant alleges: PARTIES 1. Richard B. Moore, PLS (Complainant) brings this Accusation solely in his official capacity as the Executive Officer of the Board for Professional Engineers, Land Surveyors, and Geologists (Board), Department of Consumer Affairs. 2. On or about April 22, 1983, the Board issued Land Surveyor License Number L 5342 to Dirk Cornelis Slooten (Respondent). The Land Surveyor License was in full force and effect at all times relevant to the charges brought herein and will expire on December 31, 2015, unless renewed. ///

JURISDICTION

- This Accusation is brought before the Board under the authority of the following laws. All section references are to the Business and Professions Code (Code) unless otherwise indicated.
- 4. Section 118, subdivision (b) of the Code provides that the suspension, expiration, surrender, or cancellation of a license shall not deprive the Board of jurisdiction to proceed with a disciplinary action during the period within which the license may be renewed, restored, reissued, or reinstated.

STATUTORY PROVISIONS

5. Section 8780 of the Code provides, in pertinent part:

"The board may, upon its own initiative or upon the receipt of a complaint, investigate the actions of any land surveyor licensed under this chapter or any civil engineer licensed under the provisions of Chapter 7 (commencing with Section 6700) who is legally authorized to practice land surveying and make findings thereon.

"By a majority vote, the board may publicly reprove, suspend for a period not to exceed two years, or revoke the license or certificate of any land surveyor licensed under this chapter or civil engineer licensed under the provisions of Chapter 7 (commencing with Section 6700) who is legally authorized to practice land surveying on any of the following grounds:

- "(b) Any negligence or incompetence in his or her practice of land surveying.
- "(d) Any violation of any provision of this chapter or of any other law relating to or involving the practice of land surveying.
 - , ,
 - 6. Section 8759 of the Code provides, in pertinent part:
- "(a) A licensed land surveyor or registered civil engineer authorized to practice land surveying shall use a written contract when contracting to provide professional services to a client pursuant to this chapter. The written contract shall be executed by the licensed land surveyor or

registered civil engineer and the client, or his or her representative, prior to the licensed land surveyor or registered civil engineer commencing work, unless the client knowingly states in writing that work may be commenced before the contract is executed. . . .

. . .

- 7. Section 8762 of the Code provides, in pertinent part:
- "(b) Notwithstanding subdivision (a), after making a field survey in conformity with the practice of land surveying, the licensed land surveyor or licensed civil engineer shall file with the county surveyor in the county in which the field survey was made a record of the survey relating to land boundaries or property lines, if the field survey discloses any of the following:

. . .

- "(4) The location, relocation, establishment, reestablishment, or retracement of one or more points or lines not shown on any subdivision map, official map, or record of survey, the positions of which are not ascertainable from an inspection of the subdivision map, official map, or record of survey.
- "(5) The points or lines set during the performance of a field survey of any parcel described in any deed or other instrument of title recorded in the county recorder's office are not shown on any subdivision map, official map, or record of survey.
- "(c) The record of survey required to be filed pursuant to this section shall be filed within 90 days after the setting of boundary monuments during the performance of a field survey or within 90 days after completion of a field survey, whichever occurs first.

. . . .

- 8. Section 8771 of the Code provides, in pertinent part:
- "(a) Monuments set shall be sufficient in number and durability and efficiently placed so as not to be readily disturbed, to assure, together with monuments already existing, the perpetuation or facile reestablishment of any point or line of the survey.

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COSTS

9. Section 125.3 of the Code provides, in pertinent part, that the Board may request the administrative law judge to direct a licentiate found to have committed a violation or violations of the licensing act to pay a sum not to exceed the reasonable costs of the investigation and enforcement of the case.

FACTUAL BACKGROUND

Fort Bragg Project

- On or about August 20, 2006, Respondent prepared an ALTA/ACSM¹ Land Title
 Survey map for a property in Fort Bragg, California.
- 11. Although the survey map that Respondent prepared does show some existing boundary monuments, it does not show any other evidence found on the ground (e.g., record monuments, non-record monuments, improvements) that ties directly to the establishment of the property boundary being surveyed. In addition, the monuments shown on Respondent's survey map lie in a section of land east of the range line that originally established the Fort Bragg property Respondent surveyed. These monuments are not adequate to establish the boundary of the Fort Bragg property.
- 12. A thorough survey of the Fort Bragg property would likely uncover additional monuments impacting the establishment of the property boundary. Respondent did not attempt to recover any additional monuments.
- 13. Respondent did not mark the boundary of the Fort Bragg property with sufficient durable survey monuments.
- 14. In his survey map, Respondent established points and lines not shown on any subdivision map, official map, or record of survey. Nonetheless, Respondent did not file a record of survey within ninety (90) days of completing the survey.
- 15. Respondent did not use a written contract for the surveying services he provided to his client for the Fort Bragg project.

¹ ALTA stands for American Land Title Association. ACSM stands for American Congress for Surveying and Mapping.

FIRST CAUSE FOR DISCIPLINE 1 2 (Negligence) Respondent is subject to disciplinary action under section 8780, subdivision (b) of the 3 Code for negligence in that: 4 5 The survey map Respondent prepared for the Fort Bragg property does not show any evidence found on the ground that ties directly to the establishment of the property boundary 6 being surveyed. 7 b. The monuments shown on Respondent's survey map are not adequate to establish the 8 boundary of the Fort Bragg property. 9 Respondent did not attempt to recover additional monuments impacting the 10 establishment of the property boundary. 11 d. 12 Respondent did not mark the boundary of the Fort Bragg property with sufficient durable survey monuments. 13 Respondent failed to file a record of survey within ninety (90) days of completing the 14 survey for the Fort Bragg project. 15 f. Respondent provided land surveying services without using a written contract. 16 SECOND CAUSE FOR DISCIPLINE 17 18 (Incompetence) Respondent is subject to disciplinary action under section 8780, subdivision (b) of the 17. 19 Code for incompetence in that Respondent failed to demonstrate knowledge of the requirements 20 for preparing ALTA survey maps and when a record of survey is required to be filed. 21 22 THIRD CAUSE FOR DISCIPLINE 23 (Failure to Set Sufficient Durable Monuments) 18. Respondent is subject to disciplinary action under sections 8780, subdivision (d) and 24 8771, subdivision (a) of the Code in that Respondent did not mark the boundary of the Fort Bragg 25 property with sufficient durable survey monuments. 26 27 111 111 28

FOURTH CAUSE FOR DISCIPLINE

(Failure to File Record of Survey)

19. Respondent is subject to disciplinary action under sections 8780, subdivision (d) and 8762, subdivisions (b)(4) and (c) of the Code in that Respondent failed to file a record of survey within ninety (90) days of completing the survey for the Fort Bragg project.

FIFTH CAUSE FOR DISCIPLINE

(Failure to Use a Written Contract)

20. Respondent is subject to disciplinary action under sections 8780, subdivision (d) and 8759, subdivision (a) of the Code in that Respondent did not use a written contract for the surveying services he provided to his client for the Fort Bragg project.

Pittsburg Project

- 21. On or about August 22, 2006, Respondent prepared an ALTA/ACSM Land Title Survey map for a property in Pittsburg, California.
- 22. The survey map Respondent prepared shows only one existing boundary monument at a parcel corner and no other evidence found on the ground (e.g., record monuments, non-record monuments, improvements) that ties directly to the establishment of the property boundary being surveyed. The monuments shown on the survey map are not adequate to establish the property boundary.
- 23. A thorough survey of the property would likely uncover additional monuments impacting the establishment of the property boundary. Respondent did not attempt to recover any additional monuments.
- 24. Respondent did not mark the boundary of the Pittsburg property with sufficient durable survey monuments.
- 25. In his survey map, Respondent established points and lines not shown on any subdivision map, official map, or record of survey. Nonetheless, Respondent did not file a record of survey within ninety (90) days of completing the survey.

NINTH CAUSE FOR DISCIPLINE 1 2 (Failure to File Record of Survey) Respondent is subject to disciplinary action under sections 8780, subdivision (d) and 3 8762, subdivisions (b)(4) and (c) of the Code in that Respondent failed to file a record of survey 4 within ninety (90) days of completing the survey for the Pittsburg project. 5 TENTH CAUSE FOR DISCIPLINE 6 (Failure to Use a Written Contract) 7 Respondent is subject to disciplinary action under sections 8780, subdivision (d) and 8 8759, subdivision (a) of the Code in that Respondent did not use a written contract for the 9 surveying services he provided to his client for the Pittsburg project. 10 11 Morgan Hill Project 12 13 On or about August 30, 2004, Respondent prepared an ALTA/ACSM Land Title Survey map for a property in Morgan Hill, California. 14 15 33. The survey map that Respondent prepared does not show any evidence found on the ground (e.g., record monuments, non-record monuments, improvements) that ties directly to the 16 17 establishment of the property boundary being surveyed. Also, the monuments shown on Respondent's survey map are not adequate to establish the property boundary. 18 A thorough survey of the property would likely uncover additional monuments 19 impacting the establishment of the property boundary. Respondent did not attempt to recover any 20 additional monuments. 21 22 35. Respondent did not mark the boundary of the property with sufficient durable survey monuments. 23 24 Respondent did not use a written contract for the surveying services he provided to his client for the Morgan Hill project. 25 111 26 111 27 28 111

1 ELEVENTH CAUSE FOR DISCIPLINE (Negligence) 2 Respondent is subject to disciplinary action under section 8780, subdivision (b) of the 3 Code for negligence in that: 4 5 The survey map that Respondent prepared does not show any evidence found on the a. ground that ties directly to the establishment of the property boundary being surveyed. 6 b. The monuments shown on Respondent's survey map are not adequate to establish the 7 boundary of the Morgan Hill property. 8 9 Respondent did not attempt to recover additional monuments impacting the establishment of the property boundary. 10 Respondent did not mark the boundary of the Morgan Hill property with sufficient 11 durable survey monuments. 12 e. Respondent provided land surveying services without using a written contract. 13 TWELFTH CAUSE FOR DISCIPLINE 14 15 (Failure to Set Sufficient Durable Monuments) 38. Respondent is subject to disciplinary action under sections 8780, subdivision (d) and 16 17 8771, subdivision (a) of the Code in that Respondent did not mark the boundary of the Morgan Hill property with sufficient durable survey monuments. 18 19 THIRTEENTH CAUSE FOR DISCIPLINE (Failure to Use a Written Contract) 20 39. 21 Respondent is subject to disciplinary action under sections 8780, subdivision (d) and 8759, subdivision (a) of the Code in that Respondent did not use a written contract for the 22 surveying services he provided to his client for the Morgan Hill project. 23 24 Manteca Project 25 26 40. On or about August 30, 2004, Respondent prepared an ALTA/ACSM Land Title Survey map for a property in Manteca, California. 27 111 28

- 41. Although the survey map that Respondent prepared does show some existing boundary monuments, it does not show any other evidence found on the ground (e.g., record monuments, non-record monuments, improvements) that ties directly to the establishment of the property boundary being surveyed. Also, the monuments shown on Respondent's survey map are not adequate to establish the property boundary.
- 42. A thorough survey of the property would likely uncover additional monuments impacting the establishment of the property boundary. Respondent did not attempt to recover any additional monuments.
- 43. Respondent did not mark the boundary of the Manteca property with sufficient durable survey monuments.
- 44. In his survey map, Respondent established points and lines not shown on any subdivision map, official map, or record of survey. Nonetheless, Respondent did not file a record of survey within ninety (90) days of completing the survey.
- 45. Respondent did not use a written contract for the surveying services he provided to his client for the Manteca project.

FOURTEENTH CAUSE FOR DISCIPLINE

(Negligence)

- 46. Respondent is subject to disciplinary action under section 8780, subdivision (b) of the Code for negligence in that:
- a. Other than existing monuments, the survey map Respondent prepared for the Manteca property does not show any evidence found on the ground that ties directly to the establishment of the property boundary being surveyed.
- b. The monuments shown on Respondent's survey map are not adequate to establish the boundary of the Manteca property.
- c. Respondent did not attempt to recover additional monuments impacting the establishment of the property boundary.
- d. Respondent did not mark the boundary of the Manteca property with sufficient durable survey monuments.

PRAYER 1 2 WHEREFORE, Complainant requests that a hearing be held on the matters herein alleged, and that following the hearing, the Board for Professional Engineers, Land Surveyors, and 3 Geologists issue a decision: 4 Revoking or suspending Land Surveyor License Number L 5342 issued to Dirk 1. 5 Cornelis Slooten: 6 2. Ordering Dirk Cornelis Slooten to pay the Board for Professional Engineers, Land 7 Surveyors, and Geologists the reasonable costs of the investigation and enforcement of this case 8 pursuant to Business and Professions Code section 125.3; 9 3. Taking such other and further action as deemed necessary and proper. 10 11 12 6/17/14 DATED: 13 **Executive Officer** 14 and Geologists 15 State of California 16 Complainant 17 SF2014407406 18 40941327.docx 19 20 21 22 23 24 25 26 27